

**VIA ELECTRONIC DELIVERY****Attention: New Customer**

12 July 2021

**RE: Welcome to Britannia Global Markets Limited**

Dear New Customer

We are writing to you to advise you about the proposed transfer of your investment account from Dolfin Financial (UK) Ltd (“Dolfin”) to Britannia Global Markets Limited (“Britannia”). As you may be aware, Dolfin has recently gone into Special Administration and will close its business imminently. Dolfin has made arrangements with the UK Financial Conduct Authority (“FCA”) to transfer your account(s) to Britannia Global Markets, Limited.

Britannia is a full-service broker and dealer of securities, commodities, derivative financial products, F/X and funds headquartered in the City of London. We have attached our firm brochure containing additional information about our business, products, services and wider financial group.

There are three options you can take:

1. **Take no action** – If you take no action, your Dolfin client agreement will transfer automatically to Britannia with effect from 10<sup>th</sup> August 2021 (the “**Final Transfer Date**”).
2. **Exercise the Early Transfer Option** – If you would like your Dolfin agreement to be transferred to Britannia before the Final Transfer Date, you can do so by giving an Early Transfer Notice. In this case, your Dolfin client agreement will be transferred to Britannia upon receipt of your Early Transfer Notice. Details of how to exercise this option have been communicated by Dolfin.
3. **Exercise the Opt Out Option** - If you do not want your client agreement to be transferred to Britannia, you have the option to opt out of the transfer to Britannia by giving an Opt Out Notice prior to the Final Transfer Date. In this case, your Dolfin client agreement will remain with Dolfin. If you are minded to opt out, we would recommend that you seek professional advice and consider the information contained on the designated Dolfin Special Administration website to understand, in detail, the full implications of this before you make the final decision as to whether to opt out of the transfer to Britannia.

To ensure continuity in the handling of your account(s), your relationship manager will remain unchanged as a result of this transition, as they have transferred to Britannia along with your account. However, contact information with regard to your account has changed. Please see the key Britannia contacts with regard to any questions or service requirements you may have. Additional information regarding this transition can be found on our website at [www.britannia.com](http://www.britannia.com). We have also set up a dedicated email inbox for any questions you may have at [dolfincustomer@britannia.com](mailto:dolfincustomer@britannia.com).

**Asset Management Customer Relations: +44 20 3196 5322**

Upon the early or automatic transfer of your account to Britannia, you will receive a welcome letter with your new Britannia account number and new direct contact details for your relationship manager. You will be asked to complete new Britannia account documentation following the transfer of your account.



Should you have any questions regarding any of the foregoing, please do not hesitate to consult our website or contact us through email or our dedicated Dolfin Customer Relations line at **+44 20 3196 5322**.

Kind regards

Daniel J. Reiser  
Director